

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT

Name of District Court, and/or Judge/Magistrate Location (City)

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISIONName and Office of Person
Furnishing Information on
THIS FORM

CHUCK ROSENBERG, USA

☒ U.S. Att'y☐ Other U.S. AgencyName of Asst. U.S. Att'y
(if assigned)

SAMUEL J. LOUIS, AUSA

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)

SA Doug Mason/FDA

☐ person is awaiting trial in another Federal or State Court, give
name of court☐ this person/proceeding transferred from another district per (circle
one) FRCrP 20, 21 or 40 . Show District☐ this is a reprosecution of charges
previously dismissed which were
dismissed on motion of:☐ U.S. Att'y ☐ Defense☐ this is prosecution relates to a pending
case involving this same defendant☐ prior proceedings or appearance(s)
before U.S. Magistrate judge regarding
this defendant were recorded underSHOW
DOCKET NO.MAG. JUDGE
CASE NO.Place of
offense

Southern District of

☐ Petty
☐ Minor
☐ Misdemeanor
☒ Felony

DEFENDANT - U.S. vs.

JAMES GEORGE

Address

Birth
Date

Social Security Number

UN Sealed
Public and unofficial staff access
to this instrument are
prohibited by court order.☒ Male
☐ Female☐ Alien
(if applicable)

DEFENDANT

IS NOT IN CUSTODY

- 1) ☒ Has not been arrested, pending outcome this proceeding
If not detained give date any prior
summons was served on above charges
- 2) ☐ Is a Fugitive
- 3) ☐ Is on Bail or Release from (show District)

IS IN CUSTODY

- 4) ☐ On this charge
- 5) ☐ On another conviction
- 6) ☐ Awaiting trial on other charges
- } ☐ Fed'l ☐ State

If answer to (6) is "Yes", show name of institution

Has detainer
been filed?☐ Yes
☐ NoIf "Yes"
give date
filedDATE OF
ARREST

Or... if Arresting Agency & Warrant were not Federal

DATE TRANSFERRED
TO U.S. CUSTODY☐ This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Ct 1: Conspiracy [18 USC§ 371]

Ct 2: Food Drug & Cosmetic Act [21 USC§ 331(a)]

Ct 3 & 4: Food Drug & Cosmetic Act [21 USC§ 331(i)]

Ct 5: Knowingly Importing a Drug [21 USC§ 333(b)(1)(A)]

PENALTY: Ct 1: 5 years imprisonment, \$250,000 fine, 3 years SRT

Ct 2: 3 years imprisonment, \$10,000 fine

Ct 3 & 4: 3 years imprisonment, \$10,000 fine

Ct 5: 10 years imprisonment, \$250,000 fine

\$50,000 /10%